

Appendix Three

EQUALITY ANALYSIS QUALITY ASSURANCE CHECKLIST

Name of 'proposal' and how has it been implemented (proposal can be a policy, service, function, strategy, project, procedure, restructure/savings proposal)	Gambling Policy Review
Directorate / Service	CLC / Safer Communities
Lead Officer	David Tolley, Head of Consumer and Business Regulation
Signed Off By (inc date)	17/6/15
Summary – to be completed at the end of completing the QA (using Appendix A) (Please provide a summary of the findings of the Quality Assurance checklist. What has happened as a result of the QA? For example, based on the QA a Full EA will be undertaken or, based on the QA a Full EA will not be undertaken as due regard to the nine protected groups is embedded in the proposal and the proposal has low relevance to equalities)	<div style="display: flex; align-items: flex-start;"> <div style="margin-right: 10px;">  </div> <div> <p>Proceed with implementation</p> <p>As a result of performing the QA checklist, the policy, project or function does not appear to have any adverse effects on people who share <i>Protected Characteristics</i> and no further actions are recommended at this stage.</p> </div> </div>

Stage	Checklist Area / Question	Yes / No / Unsure	Comment (If the answer is no/unsure, please ask the question to the SPP Service Manager or nominated equality lead to clarify)
1	Overview of Proposal		
a	Are the outcomes of the proposals clear?	Yes	<p>The Mayor in Cabinet is recommended to:</p> <ul style="list-style-type: none"> • Agree the forward programme for adopting the Gambling Policy • Agree that the consultation should be based on the existing Policy including updated guidance from the central government agencies. <p>The Council's current Gambling Policy has not been controversial and has served the Council well over the last three years. All local authorities have to review their existing gambling policy and adopt a new policy by November 2016, as one of the responsibilities they have to administer licences under the Gambling Act 2005. The additional requirement to include in the Gambling Policy are:</p> <ul style="list-style-type: none"> • to set out a local profile, the Policy links to the Borough profile held on the website, therefore the profile can be updated without the need to re-consult on amending the full Policy. • details of the inspection format to be used • risk assessment format for operators • sample licence conditions. <p>The identified forward programme shows that a consultation period will start in October 2015 and end in January 2016 and a final draft Gambling Policy, into which the feedback from the consultation is incorporated, will be submitted to the Council in September 2016.</p>

			<p>It should be noted that some major concerns about gambling (e.g. gambling addiction, arguments of a more liberal gambling) are not addressed in the policy. The impact of licensed premises on nearby residents, such as noise, is also out of scope.</p>
b	<p>Is it clear who will be or is likely to be affected by what is being proposed (inc service users and staff)? Is there information about the equality profile of those affected?</p>	<p>Yes</p>	<p>The Gambling Policy states how the Licensing Authority will exercise its authority. This policy covers the following:</p> <ul style="list-style-type: none"> • How the Licensing Authority will use its regulatory powers in relation to applications and reviews of the activities it regulates, to the extent it is allowed by statute. • The main licensing objective for the authority is protecting children, preventing crime and disorder and ensuring gambling is fair and open. • The Licensing Authority approach to regulation • The scheme of delegation <p>The proposed changes (Appendix 2) will affect businesses who apply for a licence and those who have received licences. The business operators will be requested to follow the changes of the policy, including undertaking local risk assessments in relation to their premises. These changes will not adversely affect any protected characteristics.</p> <p>The licencing objectives remain including protecting children and the vulnerable, including 'people may not be able to make informed or balanced decisions about gambling due to a mental impairment, alcohol or drugs.'</p>

2	Monitoring / Collecting Evidence / Data and Consultation		
a	Is there reliable qualitative and quantitative data to support claims made about impacts?	NA	<p>The proposed changes are listed in Appendix 2.</p> <p>Regarding the business related data, the Development and Renewal (D&R) directorate have corporate lead responsibility for data capture and are currently reviewing the technical implications in developing an equalities strand of their business data base.</p>
	Is there sufficient evidence of local/regional/national research that can inform the analysis?	NA	See above. Note the Gambling Commission's Licence Conditions and Code of Practice (LCCP) require gambling premises to undertake a risk assessment taking into consideration their local information.
b	Has a reasonable attempt been made to ensure relevant knowledge and expertise (people, teams and partners) have been involved in the analysis?	Yes	<p>The Gambling Policy is prescribed by central government and the Gambling Commission. The policy produced has to comply with guidance issued by both of these bodies. The current policy is compatible with this advice and guidance.</p> <p>The stakeholders will be consulted on the proposed changes between October 2015 and January 2016.</p>
c	Is there clear evidence of consultation with stakeholders and users from groups affected by the proposal?	Yes	The stakeholders will be consulted on the proposed changes between October 2015 and January 2016.
3	Assessing Impact and Analysis		
a	Are there clear links between the sources of evidence (information, data etc) and the interpretation of impact amongst the nine protected characteristics?	Yes	The proposed changes listed in Appendix 2 highlight stakeholders that will be affected by the changes.
b	Is there a clear understanding of the way in which proposals applied in the same way can have unequal impact on different groups?	N/A	

4	Mitigation and Improvement Action Plan		
a	Is there an agreed action plan?	Yes	The forward programme for adopting the Gambling Policy is identified.
b	Have alternative options been explored	Yes	The Gambling Policy is prescribed by central government and the Gambling Commission.
5	Quality Assurance and Monitoring		
a	Are there arrangements in place to review or audit the implementation of the proposal?	Yes	The forward programme identifies the consultation and forward plan.
b	Is it clear how the progress will be monitored to track impact across the protected characteristics??	Yes	A consultation process will be held.
6	Reporting Outcomes and Action Plan		
a	Does the executive summary contain sufficient information on the key findings arising from the assessment?	Yes	